Enforcement Administrative Orders (cont.)

Earl D. Glick (CRD# 9248187) 197 N. Jupiter Cortland, IL 60112
Order of Revocation
John F. Tyus (CRD# 14755677) 599 Eastern Pkwy. Brooklyn, NY 11216
Consent Order of Withdrawal
Alfred B. Blaikie III (CRD# 2468528) 4 Shadowbrook Dr. Cots Neck, NY 07932
Earl D. Glick (CRD# 1475538) 355 E. 72nd St., Apt. #17B New York, NY 10021
Grace Edwards 6201 S. Indiana Ave. Chicago, IL 60637
Consent Order of Revocation
Brian E. McClain (CRD# 1646092) 8542 W. Berry Ln. Tinley Park, IL 60477
Order of Revocation
Dennis R. Weddle II (CRD# 6671849) 728 Viola Cir. Henderson, NV 89015
Daniel C. Lacey (CRD# 4131702) 8701 Samuel Bishop Dr. Austin, TX 78736
The stock market’s ups and downs have been making major headlines. Some investors panic when they see a declining market and search for alternative investments. Many alternative investments that promise high returns are nothing more than schemes that play off the headlines. For example, when gas prices were on the rise, some con artists pitched phony investments in alternative energy. With the struggling real estate market, purported investments in foreclosed properties are being touted.

Seniors and investors nearing retirement are often most at risk of being targeted. Some investors fall for these scams and wind up losing all their retirement savings. Following are ways to protect yourself from potential scams:

• Hang up on aggressive cold callers and delete unsolicited e-mails promoting investment opportunities.
• Request written information about any investment. Review the materials with a trusted financial adviser, attorney or accountant before investing.
• Use common sense. If it sounds too good to be true, it probably is.
• Contact the Secretary of State Securities Department to check if the seller and the investment are registered and licensed. If they aren’t, don’t invest.

If you think you have been a victim of financial fraud, please report it to the Securities Department immediately. Your call could keep others from losing their money.

The Securities Department’s Investor Education Division will present seminars and host exhibits at two teachers conferences in the coming months:

• IACEA Adult Education Conference — Springfield, March 5-7
• Association for Career and Technical Education Conference — Springfield, June 18

The department also will again host the Financial Regulators Fair on April 23, 10 a.m. to 2 p.m., in the lobby of the James R. Thompson Center, 100 W. Randolph, Chicago. The fair is held in conjunction with Money Smart Week. Other participating agencies include the State Treasurer’s office, the U.S. Securities and Exchange Commission, the FDIC, the Office of Thrift Supervision of the U.S. Treasury, the National Futures Association, FINRA (formerly the NYSE and NASD self-regulatory organizations) and the Federal Reserve Bank of Chicago. The fair is open to the public.
FAQ

Margin Accounts

Q: My broker mentioned setting up a "margin account" for me. What is a margin account?

A: A margin account involves borrowing money from your brokerage firm to buy stocks. It works like a loan, but there are risks involved. When you buy stock on margin, you increase the amount of stock you can buy, but you can also lose more money than you start with.

Q: What is a "margin call"?

A: A margin call is when the stock purchased has decreased in value so that more money is required by the brokerage firm to keep that margin account open. If the margin call is not met (if more money is not deposited in the account by the purchaser), the firm sells the stock in an attempt to recoup its losses from the loan.

Q: I bought stock on margin and learned that my broker sold the stock when the price dropped. He never contacted me before selling the stock. Is that legal?

A: No. There is no requirement that the broker contact the client before selling the assets of a margin account. Some firms attempt to contact the client, but often do not. Even if you are contacted and given a specific date to meet the margin call, the firm can still take immediate action and sell the stock without notifying the client.

Important facts about margin accounts

• Margin accounts involve a great deal more risk than cash accounts because you can lose more than the amount of money you initially invested.
• If you decide to purchase on margin, be aware of the interest and costs you will be charged on the money you borrow and how these costs affect your overall return.
• Ask if your firm can sell your securities without notice to you when you don't have sufficient equity in your account.

2008 Filing Deadlines

December 1, 2008

Business Brokers and Loan Brokers — Renewal forms for calendar year 2009 due.

December 31, 2008

Broker/Dealers — Designated Principal filing statements due.

Registered Investment Advisers — Designated Principal filing statements and Reports of Branch Offices due.

All statements and forms are due to the Illinois Securities Department by the due date.

All renewal forms are mailed by the Securities Department in mid-October to the current address on file with the department for each firm.

Order to Cease and Desist

Compact Vending, Inc. 600 17th St., Ste. 2800 South Denver, CO 80202

Direct Buy Video 118 N. Archway Cir. The Woodlands, TX 77382 701 SW 62nd Blvd., FT-329 Gainesville, FL 32607 8902 Donley Dr. Oklahoma City, OK 73127

Patrick Whelley Network Franchising, Inc., Success Systems 6283 Red Canyon Dr., #A Littleton, CO 80130

William Realty and Investment Grow Wealth Enterprises Hyde Park Bank Bldgs 1555 E. 53rd St., #139 Chicago, IL 60615

Guy Williams 6117 S. Woodlawn Ave. Chicago, IL

Automated Internet Group 5092 N. Central Ave., #499 Phoenix, AZ 85012

Consent Order William J. Murphy Birkleib Investment Securities, Inc. 908 S. LaSalle, Ste. 1700 Chicago, IL 60604

Investment Advisers 8933 15th Ave., #929 Las Vegas, NV 89119

Investment Adviser Representatives 12,030 13,510 16,066

Annual renewal revenues associated with these categories totaled $24.9 million, $25.8 million and $28.8 million, respectively, for calendar years 2005, 2006 and 2007.

Order of Consent or Dismissal Carl D. Maxwell (CRD#601141) 321 Island View Ln. Lake Barrington, IL 60010

Charles L. Dohrane (CRD#1490815) 5310 Greenbriar Dr. Corpus Christi, TX 78413

Consent Order of Fine York Stockbrokers, Inc. (BD#102724) 601 5th Ave., 6th Fl. New York, NY 10020

Order of Prohibition B.D. & J. Enterprises, Inc. P.O. Box 54333 Lexington, KY 40356

Jewell Robbins 128 Barley Estates Nicholasville, KY 40356

William Burgin 128 Barley Estates Nicholasville, KY 40356

Lee H. Arndt (#18600-494) Metropolitan Correction Center 71 W Van Buren St. Chicago, IL 60605

Summerfield Oil & Gas Development Corp. 17810 SE 108th Ct. Summerfield, FL 34491

Michael Peaman 17810 SE 108th Ct. Summerfield, FL 34491

Parkers and Assoc. 127-B Bridgeton Pike Rd., Ste. 351 Mullica Hills, NJ 08062

K & G Marketing, Inc. Gregory M. Mooney 1336 European Dr. Henderson NV 89012

Cliffside Financial Group 55 Madison Ave. Morristown, NJ 07960

Range Energy, Inc. J.W. Enterprises, Inc. 8200 Oil and Gas Fund 6792 N. Mount Hawley Rd. Peoria, IL 61614

Emily Sanders 23638 Pleasant Hill Dr. Crete, IL 60417

Merrick Sanders 23628 Pleasant Hill Dr. Crete, IL 60417

Global Financial & Assoc., Inc. 15435 S. Park Ave. South Holland, IL 60473

Jeremy M. Stamper 180R Madison Ave., Ste. 330 Seattle, WA 98122

Federal Savings LLC 110 Lakeside Ave., Ste. H Seattle, WA 98104

First Banchares, LLC 110 Lakeside Ave., Ste. H Seattle, WA 98104

Mazumah International, Inc. 3595 Del Mar Heights Rd., #213 San Diego, CA 92130

Enforcement Administrative Orders

Administration Orders also are available at www.cyberdrivillinois.com (click Departments, Securities, Administrative Actions).

Order of Prohibition 128 Barkley Estates Nicholasville, KY 40356

Order of Consent or Dismissal 128 Barkley Estates Nicholasville, KY 40356

Consent Order of Fine 128 Barkley Estates Nicholasville, KY 40356

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Consent Order of Consent or Dismissal 128 Barkley Estates Nicholasville, KY 40356
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600 17th St., Ste. 2800
South Denver, CO 80202

Direct Buy Video
118 N. Archway Cir.
The Woodlands, TX 77382
701 SW 62nd Blvd., F-232
Gainesville, FL 32607
8902 Donley Dr.
Oklahoma City, OK 73127

Patrick Wherley
Network Financialing, Inc.
Success Sales, Inc.
6238 Red Canyon Dr., #A
Littleton, CO 80130

Williams Realty and Investment Grow Wealth Enterprises Hyde Park Bank Bldgs.
1555 E. 53rd St., #139
Chicago, IL 60615

Guy Williams
6172 S. Woodlawn Ave.
Chicago, IL

Automated Internet Group
5095 N. Central Ave., #499
Phoenix, AZ 85012

Consent Order
William J. Murphy
Birkelbach Investment Securities, Inc.
908 S. LaSalle, Ste. 1700
Chicago, IL 60604

Investment Advisers
In 2005, 138,588 reported 1,429,953 158,279

Investment Advisers
In 2006, 1,069 reported 31,274 28,497

Investment Advisers
In 2007, 1,030 reported 13,510 16,066

Annual renewal revenues associated with these totaled $24.9 million, $25.8 million and $28.8 million, respectively, for calendar years 2005, 2006 and 2007.

Order of Consent of Dismissal
Carl D. Haskell (CRD#601141)
231 Island View Ln.
Lake Barrington, IL 60010

Charles L. Dorane (CRD#1409815)
5310 Greenbriar Dr.
Corpus Christi, TX 78413

Consent Order of Acceptance
York Stockbrokers, Inc.
(BOCA190745)
610 5th Ave., 6th Fl.
New York, NY 10020

Order of Prohibition
B.D. & J. Enterprises, Inc.
P.O. Box 5433
Lexington, KY 40536

Jewell Robbins
128 Barkley Estates Nicholasville, KY 40356

William Burgess
128 Barkley Estates Nicholasville, KY 40356

Lee H. Ardoin (#18060-494)
Metropolitan Correction Center
71 W. Van Buren St.
Chicago, IL 60605

Summerfield Oil & Gas Development Corp.
1780 SE 10th St.
Summerfield, FL 34491

Michael Peaman
7180 SE 18th St.
Summerfield, FL 34491

Parker and Assoc.
172 B ridgeston Pkde. Ste, 351 Mullica Hills, NJ 08062

K & G Marketing, Inc.
Greer M. Mooney
13560 European Dr
Henderson NV 89052

CitiLife Financial Group
55 Madison Ave.
Morrinstown, NJ 07960

Range Energy, Inc.
JL Enterprises, Inc.
2000 Oil and Gas Fund
7679 N. Mount Hawley Rd.
Peoria, IL 61614

Emily Sanders
3623 Fountain Park Dr.
Des Plaines, IL 60016

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B.D. & J. Enterprises, Inc.
P.O. Box 5433
Lexington, KY 40536

The Mora Group, Inc.
Julio C. more, Jr.
9223 Lake Ave.
Ste. 15C-318
Wilmette, IL 60091

Bob Berman
199 N. Michigan Ave.
Chicago, IL 60601

Woods and Sheldon
70 W. Madison St.
Chicago, IL 60602

R. Alan Jamieson
2714 W. Argyle St.
Chicago, IL 60625

Woods and Sheldon
70 W. Madison St.
Chicago, IL 60602

Dance Plastics, Inc.
3110 Crosby St.
Rockford, IL 61108

Danny W. Davis
70 W. Madison St.
Rockford, IL 61108

The Mora Group, Inc.
Julio C. More, Jr.
9223 Lake Ave.
Ste. 15C-318
Wilmette, IL 60091

The Mora Group, Inc.
Julio C. More, Jr.
1440 W. 28th St.
Miami Beach, FL 33140

Theodore Robinson
1572 SKeddie Ave.
Chicago, IL 60663

Mazumah International, Inc.
3595 Del Mar Heights Rd., #213
San Diego, CA 92130

Sanchez and Assoc. Marketing
Daniel V. Sanchez
Newport Falcon 150 Parkway Dr., Ste. 150
Lincoln, NE 68506
P.O. Box 5319
Burlington, CO 80609

Chad Eric Steiner
1319 12th St.
Yankton Federal Prison Camp
1100 Douglas Ave.
Yankton, SD 57078

PH Consulting
112 Clover Valley Dr.
Lexington, KY 40511

Monument Peak Investments LLC
Christopher Turner
7210 Oak St., #4S
River Forest, IL 60305

USA Financial Service
15 Welby Rd.
New Bedford, MA 02745

Michael Pearman
17810 SE 108th Ct.
Summerfield, FL 34491

Metrosuburan Realty
M. Taba
3945 Ludington Ct.
Henderson, NV 89052

Metro Financial Group LLC
Alex Roderick
2304 Olde Mille Rd.
Plainfield, IL 60544

Frank Panice
129 Haven St., Unit A
Hendersonville, TN 37075

USA Financial Service
15 Welby Rd.
New Bedford, MA 02745

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Jesse White
Secretary of State